

Q3 2016 - In This Issue:

IN THE KNOW: RIVAL NEWS

FEATURE UPDATES

JOIN US: Q3 INDUSTRY EVENTS



NEWSLETTER: Q3 2016

SIDE NOTES:

Join Rival Systems at MarketsWiki Education Series



Rival CEO, Rob D'Arco, joins the lineup of esteemed professionals at the 2016 MarketsWiki Education Series in Chicago. The series encourages young professionals to start a career in the financial markets by providing insights into the industry, current trends and career lessons. [Learn More and Register](#)

Achieving Edge in Data Driven Derivatives



On June 2nd Rival CEO, Rob D'Arco, presented at the Chicago Booth Big Data and Analytics Roundtable. Rob discussed how Rival is leveraging a combination of ultra-low latency and Big Data Analytics techniques to help professional traders gain an edge in the derivatives market. [Read More and Watch Video](#)

IN THE KNOW: RIVAL NEWS

Bracken, LLC Selects Rival Systems

June 7, 2016

Equity Options Trading Group Leverages Rival Trader and Rival API for Market-Making Strategies

Chicago-based Rival Systems (Rival), a trading software company established last year, today announced that Bracken, LLC has selected Rival as the platform for its equity options market-making business on the Chicago Board Options Exchange (CBOE). Bracken is leveraging Rival Trader's Electronic Eye and Auto-Quoting capabilities and building the group's proprietary algorithmic strategies using [Rival API](#). Rival's speed, customization, functionality and support were critical factors in Bracken's decision to deploy the technology for all of its trading needs. [Read More](#)

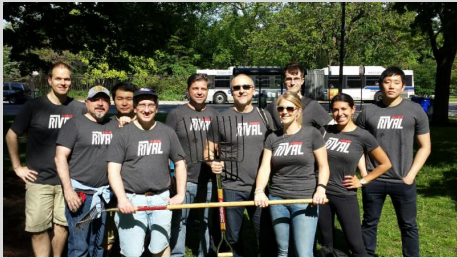
Rival Me This: Trading Smarter vs. Faster



Rival Me This | Trading Smarter vs. Faster

Check out our information video series, "Rival Me This," where CEO, Rob D'Arco provides insights into topics trending in the industry and addresses commonly asked questions from our users and partners.

In today's markets the best systems use a combination of speed and smarts to beat out the competition. In our latest video, "Trading Smarter vs. Faster," Rob discusses the importance of using the underlying market micro-structure when auto-quoting options. To learn more about the smart features we are building at



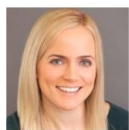
In May the Rival team gave back to the great City of Chicago by volunteering to cleanup and mulch part of Lincoln Park for the Chicago Park District. It was a great day of team building, charity work and some fun outside of the office.

Fintech Exchange 2016 Recap



Rival CEO, Rob D'Arco, presented at [Fintech Exchange 2016](#) in April. The audience heard from 20 leaders in Financial Technology with a focus on Financial Markets and Trading. Advisor TV conducted brief interviews with some presenters and developed a summary in this video clip. [Watch Video](#)

St. Ignatius College Prep Business Society: Set the World On Fire Speaker Series



Elizabeth Nash '95
Brand Strategist Lead,
Google/YouTube



Rob D'Arco '94
CEO, Rival Systems



Karin O'Connor
President, Perimeter Advisors

In May, Rival CEO, Rob D'Arco participated in St. Ignatius College Prep Business Society's inaugural "Set the World on Fire" speaker series. Rob

PRODUCT ENHANCEMENTS

Feature Updates & Enhancements

We are continually working with our community of users to quickly implement the features needed to stay competitive in today's markets.



The following are some key features we added in Q2 2016:

- New HTML5 based application for clearing firms and risk managers to set server side risk limits
- Real-time alerts with email notifications within Rival Risk
- Automated email with end of day settlement PNL and risk reports for Rival Risk users
- Usability improvements to easily manage model and risk parameters across a large product set within Rival Trader
- Manually launch algo futures orders from Rival Trader
- Reduced latency of automated order revisions in Rival Trader and Rival API by 40%
- Real-time theoretical price and risk calculations for all spreads within Rival Chat
- Hot-watch window to isolate specific spreads within Rival Chat

Q3 INDUSTRY EVENTS

Join the Rival Team

- **STAC Fund Faceoff, Chicago, July 7**
- **MarketsWiki Education Series, Chicago, July 12, 14 and 15**
- **MarketsWiki Education Series, New York, July 19**
- **STAC Women's Collective Meet and Greet, Chicago, July 21**
- **FIA Operations Americas 16th Annual Summer Outing, Chicago, July 25**
- **STAC Golf Outing, Chicago, August 22**
- **CTA Expo, Chicago, September 15**
- **FIA Golf Outing, Chicago, September 26**
- **Profit and Loss Forex Chicago, September 28 & 29**

discussed the challenges and benefits of building a tech startup in Chicago, and the positive impact the increase in technology investments and acquisitions has had on Chicago's business community.

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